

12/07/01

CASE 430868A/CU

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December 5, 2001

Date of Deposit

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF

Art Unit: 1614

BERNASCONI ET AL.

APPLICATION NO: 09/955,381

FILED: SEPTEMBER 18, 2001

FOR: USE OF GABA B RECEPTOR LIGANDS FOR THE TREATMENT  
OF NEURODEGENERATIVE DISEASES

Assistant Commissioner for Patents  
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

This paper is being filed within three months of the filing date of the application. Therefore, no fees are required. If a fee is deemed to be required, the Commissioner is hereby authorized to charge such fee to Deposit Account No. 19-0134.

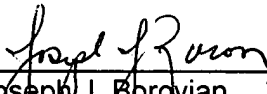
In accordance with 37 C.F.R. §1.56, applicants wish to call the Examiner's attention to the references cited on the attached form(s) PTO-1449. All of the non-asterisked references were cited in an International Search Report for parent application PCT/EP00/02605, filed March 23, 2000. In any event, a copy of the International Search Report and a copy of all of the non-asterisked references that are listed on the PTO-1449 form are enclosed herewith.

We also enclose copies of USP 5,332,729 and USP 5,424,441, which are family members of EP 0569333 (which is in the German language) and of USP 5,214,063, which is a family member of EP 0463969 (which is in the French language). In addition, enclosed is the corresponding Derwent Abstract of French Patent 2,722,192.

The Examiner is requested to consider the foregoing information in relation to this application and indicate that each reference was considered by returning a copy of the initialed PTO 1449 form(s).

Respectfully submitted,

Novartis Pharmaceuticals Corporation  
Patent and Trademark Dept.  
564 Morris Avenue  
Summit, NJ 07901-1027  
(908) 522-6921

  
\_\_\_\_\_  
Joseph J. Borovian  
Agent for Applicants  
Reg. No. 26,631

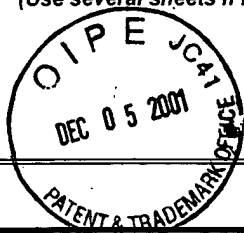
JJB/os

Encls. PTO-1449 form (incl. copies of all  
references listed thereon)  
Copy of International Search Report  
Postcard

Date: December 5, 2001

## INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

ATTY. DOCKET NO.  
4-30868A/C1  
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09/955,381  
APPLICANT  
BERNASCONI ET AL.  
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Sheet 1 of 3

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## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE
AA	5,424,441*	6/13/95	Mickel et al.			
AB	5,332,729*	7/26/94	Mickel et al.			
AC	5,214,063*	5/25/93	Debaert et al.			
AD	3,978,216	8/31/76	Fuxe			
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## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	OFFICE	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	AM	WO 98/58939	12/30/98	PCT			<input type="checkbox"/>	<input type="checkbox"/>
	AN	EP 569 333 A2	11/10/93	Europe			<input type="checkbox"/>	<input type="checkbox"/>
	AO	EP 319 479 A2	6/7/89	Europe			<input type="checkbox"/>	<input type="checkbox"/>
	AP	EP 399 949 A1	11/28/90	Europe			<input type="checkbox"/>	<input type="checkbox"/>
	AQ	WO 97/46675	12/11/97	PCT			<input type="checkbox"/>	<input type="checkbox"/>

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent pages, Etc.)

AR	Heese K. et al., Neuropharmacology, Vol. 39, pp. 449-462 (2000) XP-000938344
AS	Lal S. et al., Neurochemical Research, Vol. 20, No. 2, pp. 115-119 (1995) XP-000981832
AT	Kulinskii, V.I. et al., Bull.Exp.Biol.Med., Vol. 125, No. 2, pp. 139-141 (1998) XP-000981867

EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial of reference considered, whether or not citation is in conformance with MPEP 609: Draw a line through citation if not in conformance and not considered. Include a copy of this form with the next communication to applicant.

**INFORMATION DISCLOSURE CITATION**

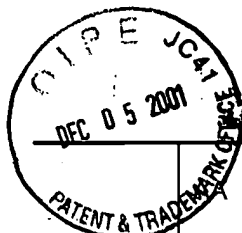
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**OTHER DOCUMENTS** (Including Author, Title, Date, Pertinent pages, Etc.)

	Yu ZaiFang et al., Brain Research, Vol. 750, No. 1-2, pp. 53-58 (1997) XP-000981780
AB	Reynolds, J.E.F., Royal Pharmaceutical Society, London, Baclofen, pp.1515-1516 (1996) XP-002160961
AC	Lees, A.J. et al., Journal of Neurology, Neurosurgery, and Psychiatry, Vol. 41, No. 8, pp. 707-708 (1978 ) XP-0009818298
AD	Trends in Pharmacological Sciences, TIPS, Vol. 14, pp. 391-393 (1993) XP 000946102
AE	Potashner S.J. et al., Journal of Neurochemistry, Vol. 40, No.6, pp. 1548-1557 (1983) XP-000981821
AF	Smith G.D. et al., Neuropharmacology, Vol. 33, No. 9, pp. 1103-1108 (1994) XP-000986543
AG	Enna S.J. et al., Life Sciences, Vol. 62, Nos. 17/18, pp. 1525-1530 (1998) XP-000983025
AH	George B. et al., Methods and Findings in Experimental and Clinical Pharmacology, Vol. 18, No. 5, pp. 335-340 (1996) XP-000981822
AI	Derwent Abstract 1996-089281/199610 (FR 2722192, 12/01/96)*
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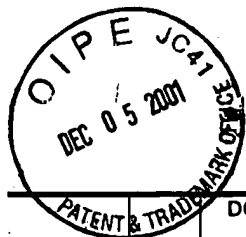
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**FOREIGN PATENT DOCUMENTS**

PATENT & TRADEMARK OFFICE		DOCUMENT NUMBER	DATE	OFFICE	CLASS	SUBCLAS S	TRANSLATION	
							YES	NO
AA		WO 98/28313 A	7/2/98	PCT			<input type="checkbox"/>	<input type="checkbox"/>
AB		EP 463 969 A1	1/2/92	Europe			<input type="checkbox"/>	<input type="checkbox"/>
AC		FR 2 722 192 A	1/12/96	France			<input type="checkbox"/>	<input type="checkbox"/>
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